

HKEx Information Services Limited

(A wholly-owned member of Hong Kong Exchanges and Clearing Limited Group)

24 September 2013
Our Ref: MDD/13/1815

By Email

To: Derivatives Market Data Vendors and End-Users (Collectively “Clients”)

Dear Sirs,

Upgrade of the HKEx’s Derivatives Trading and Clearing Systems to Genium INET Platform – Rollout Arrangement

Our letters of 17 April 2013 (Our Ref: MDD/13/864), 10 July 2013 (Our Ref: MDD/13/1422) and 31 July 2013 (MDD/13/1509) regarding the upgrade of the Hong Kong Futures Automated Trading System (“HKATS”) and the Derivatives Clearing and Settlement System (“DCASS”) to Genium INET Platform refer please.

Following the successful completion of Market Rehearsal conducted on 31 August 2013, Hong Kong Exchanges and Clearing Limited (HKEx) is pleased to announce that the HKATS and DCASS will be upgraded to Genium INET Platform on **15 October 2013**.

The rollout schedule and activities are summarized as follows:

Date	Time	Activities
5 October 2013 (Saturday)	9:00 a.m. – 12:00 noon	Connectivity Test – Optional for Clients
13 October 2013 (Sunday)	9:00 a.m. – 12:00 noon	Post Release Test – Mandatory for Direct Connection Clients
15 October 2013 (Tuesday)	-	Rollout Day
15 - 25 October 2013	2 weeks	Stabilization Period

Following the rollout of Genium INET Platform, there will be a two-week stabilization period spanning from 15 October 2013 to 25 October 2013. During this stabilization period, in the unlikely situation that the upgraded Genium INET Platform encounters irreparable incidents, HKEx may, as a last resort, trigger the fallback procedure to revert to Release 19.1 for the resumption of trading and clearing services for the derivatives market. Accordingly, PRS/PRS Plus will also fall back to the version before the rollout (“the 19.1 version”). PRS/PRS Plus clients are therefore required to retain their current systems throughout the stabilization period so that they would be able to switch back and continue processing PRS/PRS Plus messages from the 19.1 version in such event.

The test rundown for the Connectivity Test and Post Release Test will be provided in due course.

Softcopy of the relevant Participant Circular is attached herewith for your reference.

Should you have any queries, please do not hesitate to contact our Vendor Support Team at (852) 2211 6558 or via email to IVSupport@hkex.com.hk.

Yours sincerely,

Karen Lam
Assistant Vice President
Vendor Support & Data Management, Market Data
Global Markets Division

Enclosure: Participant Circular – both Chinese and English Version